Waquiz PVT LTD

**Legal and Contractual Requirements Register**

**Classification: Internal**

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| Code | IT-2024-0003 |
| Version | **1.2** |
| Created by | **ISO** |
| Approved by | **Mr.Shashi - CISO** |
| Confidentiality level | **High** |

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| --- | --- | --- | --- |
| Date | Version | Created by | Description of change |
| 2024-02-15 | 1.0 | Mr.Dilshan - ISO | Initial completed |
| 2024-05-26 | 1.2 | Ms. Tharu - CISO | Reviewed and Checked |
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# Applicable Standards

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| **Standard** | **Requirements** | **Applicability** | **Required:** Yes/No | **Last Assessed** | **Next Assessment** |
| ISO 27001 | International Information Security Management System | ISO 27001 is the information security management system and base standard operated. | Yes | 2024-03-15 | 2025-05-10 |
| PCIDSS | Payment Card Data Standard Security | Required for the storing, processing, and transmitting of card data. | Yes | 2024-03-15 | 2025-05-10 |
| NIST | NIST Cyber Security Framework | The Framework is voluntary guidance, based on existing standards, guidelines, and practices for organizations to better manage and reduce cybersecurity risk. | Yes | 2024-03-15 | 2025-05-10 |

# Regulatory Requirements

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| **Regulatory** | **Requirements** | **Applicability** | **Required:** Yes/No | **Last Assessed** | **Next Assessment** |
| ISO 27001 | Information Security | Applicable | Yes | 2024-03-15 | 2025-03-15 |
| GDPR (EU) | Data Protection | Applicable | Yes | 2024-05-10 | 2025-05-10 |
| FCA (UK) | Financial Auditing | Applicable | Yes | 2024-04-30 | 2025-04-30 |

# Legal Requirement

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| **Legislation** | **Requirements** | **Applicability** | **Required:** Yes/No | **Last Assessed** | **Next Assessment** |
| Bribery Act 2010 | An act to provision about offences relating to bribery: and for connected purposes.  On conviction on indictment the penalties included imprisonment for a term not exceeding 10 years, or fine or both. | Your organization may be liable for falling to prevent a person from bribing on your behalf but only if that person performs services for you in business.  Assessment of risks associated to bribery to be considered. | yes | 2024-03-15 | 2025-05-10 |
| Civil Evidence Act 1995 | An act to provide for the admissibility of hearsay evidence, the proof of certain documentary evidence and the admissibility and proof of official actuarial tables in civil proceedings, and for connected purposes. | Retention of records required for formal investigations. | yes | 2024-04-30 | 2025-06-1 |
| Companies Act 2006 | An act to reform company law and restate the greater part of the enactments relating to companies; to make other provision relating to companies and other forms of business organization; to make provision about directors’ disqualification, business names, auditors, and actuaries. | Adherence to information security related laws such as the data protection act. | yes | 2024-03-15 | 2025-05-10 |
| Computer Misuse Act 1990 As Amended by The Police and Justice Act 2006 | An act to establish a National Policing Improvement Agency; to make provision about police forces and police authorities and about police pensions; to make provision about police powers and about the powers and duties of community; to amend the computer misuse act 1990; to make provision about the forfeiture of indecent images of children. | Outlines penalties associated with Computer Misuse including increased penalties for “unauthorized acts with intent to impair operation of computer systems and networks”. | yes | 2024-04-30 | 2025-06-1 |
| Copyright And Rights in Database Regulations 1997 | In relation to measures relating to copyright and measures relating to the prevention of unauthorized extraction of the contents of a database and of unauthorized re-utilization of those contents. | Data access rights and use of data which are controlled and governed under the UK Data Protection Act. | yes | 2024-03-15 | 2025-04-25 |
| Counter-Terrorism Act 2008 | An act to confer further powers to gather and share information for counterterrorism and other purpose. | Management of information, its retention and destruction. | yes | 2024-04-30 | 2025-06-12 |
| Digital Economy Act 2010 | An act to make provision about the functions of the Office of Communications; to make provision about the online infringement of copyright and performance’ rights, to make provision about internet domain registries; to make provision about public lending right in relation to electronic publications; and for connected purposes. | Adherence to information Security related laws such as the Data Protection Act. | yes | 2024-03-15 | 2025-05-10 |
| Education Act 2011 | An act to make provision about education, childcare, apprenticeships, and training; to make provision about schools and the school workforce, institutions within the further education sector and academies. | Adherence to information Security related laws such as the Data Protection Act. | yes | 2024-04-30 | 2025-05-11 |
| Electronic Communication Act 2000 | An act to make provision to facilitate the use of electronic communications and electronic data storage; to make provision about modification of licenses granted under section 7 of the Telecommunications Act 1984; and for connected purposes. | Adherence to information security related laws such as the Data Protection act but with a particular focus on the use of cryptographic controls and the providers of cryptographic services in the UK. | yes | 2024-03-15 | 2025-05-15 |
| Finance Act 2012 | An act to grant certain duties, to alter other duties, and to amend the law relating to the Nation Debt and the public Revenue, and to make further provision in connection with finance. | Adherence to information Security related laws such as the Data Protection Act. | yes | 2024-04-30 | 2025-06-10 |
| Freedom Of Information Act 2000 | An act to make provision for the disclosure of information held by public authorities or by persons providing services for them and to amend the Data Protection Act 1998 and the public records act 1958. | Management of Information, its retention and destruction and how this information can be shared. | yes | 2024-03-15 | 2025-04-15 |